STEREOCHEMISTRY OF FLAVAN-3,4-DIOLS

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Abstract—Details are given of the preparation and properties of the compounds involved in the nuclear magnetic resonance work previously described.\(^1\) The application of the oxime-amine method\(^2\) to the production of flavan-3,4-diols with an axial 4-OH group is described.

In 1961 Corey et al.¹ showed by application of N.M.R. that the flavan-3,4-diol (m.p. 145°, III) obtained by the catalytic reduction of dihydroflavonol (II)² is a transdiol while the isomer (VI) (m.p. 160°) prepared through the oxime (IV) and amine (V)³

has the *cis*-configuration. This work also supports the assignment on chemical grounds of the conformation shown in II to dihydroflavonol prepared by the alkaline peroxide oxidation of the chalcone I (AFO reaction).³⁻⁵ Further, Dr. M. A. Vickars

- ¹ E. J. Corey, E. M. Philbin and T. S. Wheeler, Tetrahedron Letters No. 13, 429 (1961).
- ² R. Bognár and M. Rákosi, Chem. & Ind. 188 (1956); Acta Chim. Acad. Sci. Hung. 14, 369 (1958),
- ³ R. Bognár, M. Rákosi, H. Fletcher, E. M. Philbin and T. S. Wheeler, *Tetrahedron Letters* No. 19, 4 (1959).
- ⁴⁰ V. B. Mahesh and T. R. Seshadri, *Proc. Indian Acad. Sci.* 41, 210 (1955); ^b A. B. Kulkarni and C. G. Joshi, *J. Indian Chem. Soc.* 34, 217 (1957).
- ⁵ T. S. Wheeler, Records Chem. Progress 18, 133 (1957).

(personal communication) found that oxidation by chromium trioxide in pyridine of compounds III and VI gives dihydroflavonol (II) in yields of 50% and 14% respectively.

The stereochemical results described in our preliminary communication¹ ("received" June 17, 1961) agree with those of Bokadia et al.⁶ ("received" May 25, 1961). We now describe the preparation and properties of the compounds which formed the basis of the N.M.R. work¹ and a similar study of the corresponding 4'-methoxy-6-methylflavan-3,4-diols. Details are given of the application of the oxime-amine method (IV; V; VI)³ to the production of flavan-3,4-diols with an axial 4-hydroxyl group. As mentioned previously^{1,3} and observed by Bokadia et al.⁶ the production of a cyclic carbonate by a 3,4-diol is not diagnostic for a cis-configuration.⁷ Formation of an isopropylidene derivative is a more reliable test.⁶

Bognár et al.⁸ following Hückel et al.⁹ assumed that hydrogenation of a cyclic ketone in acetic acid with a platinum catalyst affords the axial alcohol and tentatively assigned configurations to some flavan-4-ols on this basis. Application of N.M.R. to this problem¹⁰ has shown that catalytic reduction of flavanones in acid solution yields as with dihydroflavonols^{1,6} the equatorial 4-alcohol. The oxime-amine method (IV, V, VI) affords correspondingly axial 4-alcohols. The tentative assignments made by Bognár et al⁸ have therefore to be reversed.

If one assumes that catalytic reduction of the oxime (IV) to the amine (V) follows the same course as reduction of the corresponding ketone under similar conditions, then compound V is an equatorial amine and formation of VI occurs with inversion. It is not possible, however, to generalize in regard to the course of deamination reactions. 12

EXPERIMENTAL

Flavan-3,4-diols

2,3-Trans-Flavan-3,4-trans-diol (III). Dihydroflavonol (0.5 g, II) which had been prepared by the AFO reaction⁵ was hydrogenated (PtO₂, AcOH, 1 mol H) in the warm, and catalyst and solvent removed (red. press.). The solid residue separated from ethanol in needles (0.2 g), m.p. 145.² The compound gave a deep violet colour with sulphuric acid (Found: C, 74.6; H, 5.8. Calc. for C₁₅H₁₄O₃: C, 74.4; H, 5.8%).

The dibenzoate (pyridine-benzoyl chloride) crystallized from ethanol in needles, m.p. 156-157° (Found: C, 77.5; H. 4.8. C₂₉H₂₂O₅ requires: C, 77.3; H, 4.9%).

Cyclic carbonate (VII).¹ A solution of the trans-diol (0·3 g) in dioxan (1 ml) and benzene (9 ml) was treated dropwise with phosgene in toluene (12·5%; 1·5 ml) and, after 1 hr, triethylamine (2 ml) and additional phosgene solution (4 ml) were added. The mixture was kept for 2 hr and was extracted with ether, after addition of water.¹⁸ The cyclic carbonate (Found: C, 72·0; H, 4·7. Calc. for $C_{16}H_{12}O_4$: C, 71·6; H, 4·5%) thus obtained, separated from ligroin in needles (0·2 g), m.p. 127–128° (lit. 123–130·5° °).

- ⁶ M. M. Bokadia, B. R. Brown, P. L. Kolker, C. W. Love, J. Newbould, G. A. Somerfield and P. M. Wood, J. Chem. Soc. 4663 (1961).
- ⁷ H. Kwart and G. C. Gatos, J. Amer. Chem. Soc. 80, 881 (1958); S. J. Angyal and C. G. Macdonald, J. Chem. Soc. 686 (1952).
- ⁸ R. Bognár, M. Rákosi, H. Fletcher, D. Kehoe, E. M. Philbin and T. S. Wheeler, *Tetrahedron* 18, 135 (1962).
- ⁹ W. Hückel, M. Maier, E. Jordan and W. Seeger, Liebig's Ann. 616, 46 (1958).
- ¹⁰ C. P. Lillya, D. Kehoe, Eva M. Philbin, M. A. Vickars and T. S. Wheeler, Chem. & Ind. (in press).
- ¹¹ D. H. R. Barton, J. Chem. Soc. 1027 (1953).
- ¹² D. H. R. Barton and R. C. Cookson, Quart. Rev. 10, 44 (1956); C. W. Shoppee, S. K. Roy and B. S. Goodrich, J. Chem. Soc. 1583 (1961) and references there cited.
- ¹⁸ F. E. King and J. W. Clark-Lewis, J. Chem. Soc. 3384 (1955).

The diol was recovered unchanged in attempts to prepare an isopropylidene derivative by the method described below in relation to the *cis*-diol.¹⁸ Bokadia *et al.*⁸ obtained the isopropylidene derivative (IX) of the *cis*-diol from the *trans*-isomer by application of copper sulphate and acetone.

2,3-Trans-Flavan-3,4-cis-diol (VI). 3-Hydroxy-4-oximinoflavan (IV) (Found: C, 70·6; H, 5·2; N, 5·6; C₁₈H₁₃NO₃ requires: C, 70·6; H, 5·1; N, 5·5%) which was prepared from dihydroflavonol (AFO product) and hydroxylamine hydrochloride in aqueous pyridine, separated from benzene in needles, m.p. 153-154°.

4-Amino-3-hydroxyflavan (V). (a). The oxime was hydrogenated (PtO₂, AcOH; 2 mol H) at atm. press. in warm aqueous (80%) acetic acid. An ethereal solution of the residue from removal of the catalyst and solvent (red. press.) was washed with aqueous sodium hydrogen carbonate and with water. Evaporation of the solvent yielded 4-amino-3-hydroxyflavan (Found: C, 74·2; H, 6·5; N, 6·5. $C_{15}H_{15}NO_2$ requires: C, 74·7; H, 6·3; N, 5·8%), m.p. 172-173° (needles from ethanol).

(b). A mixture of 3-hydroxy-4-oximinoflavan (1 g) in tetrahydrofuran (30 ml) and of lithium aluminium hydride (1 g) in ether (250 ml) was refluxed for 2 hr. Next day the product was poured on hydrochloric acid and crushed ice. The acid solution with further acid washings of the ethereal layer was treated with base and the liberated amine recovered by ether and crystallized from ethanol (mixed m.p. confirmation).

2,3-Trans-Flavan-cis-3,4-diol (VI).³ The aminohydroxyflavan (0·5 g) when treated with sodium nitrite and acid as previously described for the preparation of flavan-4α-ol,⁸ yielded the diol (0·2 g), m.p. 160° (from methanol) (Found: C, 73·9; H, 5·8. Calc. for C₁₅H₁₄O₃: C, 74·4; H, 5·8%). Bokadia *et al.*⁶ found it more convenient to reduce the ketone (II) by lithium aluminium hydride and aluminium chloride. The *dibenzoate* (pyridine-benzoyl chloride) separated from methanol in needles, m.p. 121-122° (Found: C, 77·5; H, 4·9. C₂₉H₂₂O₆ requires: C, 77·3; H, 4·9%).

Isopropylidene derivative (IX).³ A mixture of the cis-diol (0·1 g), acetone (6 ml) and conc. hydrochloric acid (1 drop) was kept for 7 days at room temp. and treated with triethylamine (2 drops) and water.¹³ The precipitate (Found: C, 76·8; H, 6·4. Calc. for C₁₈H₁₈O₃: C, 76·6; H, 6·4%) formed needles from methanol (0·06 g), m.p. 110–111° (lit. 112·5–113·5°).⁵

Cyclic carbonate (VIII).³ This compound (Found: C, 71·7; H, 4·2. Calc. for $C_{16}H_{12}O_4$: C, 71·6; H, 4·5%) was prepared as was the carbonate of the *trans*-diol. It separated from ethanol in needles, m.p. 157-158° (lit. 134·5-135° 6 since corrected to m.p. 159°—personal communication).

4-Hydroxy-3-methoxyflavan. Flavonol was methylated (dimethyl sulphate, potassium carbonate, acetone) to yield 3-methoxyflavone, m.p. 114° (needles from ethanol). Oyamada¹⁴ who used diazomethane reports m.p. 114°. Hydrogenation (PtO₂, AcOH; 2 mol H) afforded the hydroxymethoxy-flavan (Found: C, 74·8; H, 6·3; OMe, 12·8. C₁₀H₁₀O₃ requires: C, 75·0; H, 6·3; OMe, 12·1%), m.p. 151-152° (needles from light petroleum, b.p. 60-80°). It was not possible to assign a configuration to the methyl ether.

4'-Methoxy-6-methylflavan-3,4-diols. The trans-diol (prepared by catalytic reduction of the dihydroflavonol), m.p. 169°,6.16 did not form an isopropylidene derivative when kept for 9 days at room temp. in acetone solution containing a trace of hydrochloric acid.13 Bokadia et al.6 found that more vigorous conditions promoted formation of the isopropylidene derivative of the cis-diol.

4'-Methoxy-6-methyl-2,3-trans-flavan-3,4-cis-diol (through the 4-oximino-3-hydroxyflavan)3

3-Hydroxy-4'-methoxy-6-methyl-4-oximinoflavan. The parent dihydroflavonol^{4,16} was refluxed for 3½ hr in aqueous pyridine with hydroxylamine hydrochloride. The oxime separated from benzene in needles, m.p. 185-187° (Found: C, 68·5; H, 5·9; N, 4·8. C₁₇H₁₇NO₄ requires: C, 68·2; H, 5·7; N, 4·7%).

4-Amino-3-hydroxy-4'-methoxy-6-methylflavan. The oximinoflavan was hydrogenated at atm. press. (PtO₂, AcOH, 2 mol H) and catalyst and solvent removed (red. press.). The aminoflavan acetate crystallized from methanol in needles, m.p. 187-188° (Found: C, 66·0; H, 6·7; N, 4·4. C₁₇H₁₉NO₃.CH₃COOH requires: C, 66·1; H, 6·7; N, 4·1%).

4'-Methoxy-6-methylflavan-cis-3,4-diol. The aminoflavan acetate on treatment with nitrous acid⁸ formed the cis-3,4-diol, m.p. 193° (needles from methanol). The diacetate had m.p. 95° (needles from methanol). These m.p.'s agree with those given in the literature^{8,6,16} for these compounds. The isopropylidene derivative⁸ (acetone-hydrochloric acid)¹⁸ (Found: C, 73·9; H, 6·7; OMe, 9·8. Calc.

¹⁴ T. Oyamada, J. Chem. Soc. Japan 55, 1256 (1934); Chem. Abstr. 29, 4358 (1935).

C. G. Joshi and A. B. Kulkarni; *Chem. & Ind.* 1421 (1954); *J. Indian Chem. Soc.* 34, 753 (1957).
K. G. Marathe, Ph.D. Thesis, Poona, 67 (1953).

for $C_{20}H_{22}O_4$: C, 73.6; H, 6.8; OMe, 9.7%) crystallized from aqueous ethanol in needles, m.p. 126-127° (lit. 129-130°).

3-Hydroxy-5,7,3',4'-tetramethoxy-4-oximinoflavan which was prepared from the corresponding dihydroflavonol¹⁷ crystallized from acetone in needles, m.p. 194°. (Found: C, 61·0; H, 5·5; N, 3·9. $C_{19}H_{21}NO_7$ requires: C, 60·8; H, 5·6; N, 3·7%).

4-Amino-3-hydroxy-5,7,3',4'-tetramethoxyflavan.¹⁸ Catalytic hydrogenation (H₂, PtO₂, AcOH) or reduction of the oxime by lithium aluminium hydride afforded this amine (Found: C, 62.9; H, 6.7; N, 3.3; OMe, 34.5. C₁₉H₂₃NO₆ requires: C, 63.1; H, 6.4; N, 3.9; OMe, 34.2%), m.p. 182–185° (needles from ethanol). No useful result was obtained in attempts at deamination using nitrous acid.

¹⁷ H. L. Hergert, P. Coad and A. V. Logan, J. Org. Chem. 21, 304 (1956).

¹⁸ D. Kehoe. Personal communication.